



Applicant, or Patentee:  
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For:

Thomas CIOSEK, Axel ULLRICH and Birgit MILLAUER  
08/368,776  
January 3, 1995  
METHODS FOR DIAGNOSIS AND TREATMENT OF DISEASES OF MDK1 SIGNAL  
TRANSDUCTION DISORDERS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act in behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION:  
ADDRESS OF ORGANIZATION:

Max-Planck-Gesellschaft zur Förderung der Wissenschaften e.V.  
Hofgartenstrasse 2  
80539 Munich GERMANY

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TYPE OF ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501 (c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA (NAME OF STATE: \_\_\_\_\_)  
CITATION OF STATUTE: \_\_\_\_\_  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE: \_\_\_\_\_)  
(CITATION OF STATUTE: \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled METHODS FOR DIAGNOSIS AND TREATMENT OF DISEASES OF MDK1 SIGNAL TRANSDUCTION DISORDERS by Thomas CIOSEK, Axel ULLRICH and Birgit MILLAUER invention(s) and described in

- ☐ the specification filed herewith  
☒ application serial no. 08/368,776 filed January 3, 1995  
☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the above-entitled invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME SUGEN, Inc.  
ADDRESS 515 Galveston Drive  
Redwood City, California 94063

☐ INDIVIDUAL ORGANIZATION ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

\*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing

Dr. Johann Gaßmann

Title of person other than owner

Head of Patent Department

Address of person signing

Max-Planck-Gesellschaft zur Förderung der Wissenschaften, e.V.

Hofgartenstrasse 2

80539 Munich

GERMANY

X Signature

*Johann Gaßmann*

Date 17.5.1995

\*NOTE: Separate verified statement are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)